



SOCIETY FOR AMERICAN ARCHAEOLOGY

H. and T. King Grants for Archaeology of the Ancient Americas

Grant Agreement Form must be completed and signed by each investigator

1. Grant Applicant Name (first and last names)

2. Conflicts of Interest

A conflict of interest occurs when someone in a position of trust has competing professional and personal interests and these competing interests make it difficult to fulfill their professional duties impartially. A conflict of interest exists even if no unethical or improper act results from it. Conflicts of interest may be actual or perceived. An actual conflict of interest occurs when a decision or action would be compromised without taking immediate appropriate action to eliminate the conflict. A perceived conflict of interest is any situation in which a reasonable person would conclude that conflicting duties or loyalties exists.

3. Conflicts of Interest

An individual conflict of interest occurs when any of the following conditions is present: 1) An applicant or potential grantee uses his/her status to obtain special advantage, benefit, or access to the Society for American Archaeology; 2) An applicant is an employee or board member of the Society or is an immediate family member of an employee or board member of the SAA. Instances in which the applicant worked in a volunteer capacity for the SAA should be evaluated on a case by case basis. Volunteer status has the potential to, but does not necessarily create a conflict of interest, depending on the nature of the relationship between the two parties. An organizational conflict of interest occurs when: an applicant or potential grantee is unable or potentially unable to render impartial assistance or advice to the SAA due to competing duties or loyalties; a grantee's objectivity in carrying out the grant is or might be otherwise impaired due to competing duties or loyalties; or a grantee has an unfair competitive advantage through being furnished unauthorized proprietary information or source selection information that is not available to all competitors/applicants. I certify that I have read and understand the description of individual conflict of interest above.

4. Based on the criteria above, I have a conflict of interest I need to disclose

Yes

No

5. **Based on the criteria and description above, I have an actual or potential conflict of interest, or the appearance of a conflict of interest, which I am listing immediately below.**

Provide Name/Relationship and/or description of the conflict of Interest

6. I certify that:

(a) I am not and have not ever been the subject of a discrimination or harassment lawsuit or related administrative complaint that resulted in an adverse finding; and

(b) I do not have and have not had a current or pending disciplinary action, such as suspension or termination of registration resulting from a Register of Professional Archaeologists' grievance investigation.

agree

do not agree

7. **Is applicant or applicant's institution a government agency, a public institution (public university, research center, museum, etc.), or an individual who is affiliated (acting for or on behalf of) with a government agency or public institution?**

For purposes of this question, the term "government official" means any of the following: officers and employees of any national, regional, local, or other governmental entity, including public universities and publicly-funded research institutions; officers and employees of government-owned or - controlled commercial enterprises; candidates for political office and elected government officials at any level of government; political parties and their officials; officers, employees, agents, or official representatives of public international organizations, such as the United Nations or the World Bank; and any private person acting in an official capacity for or on behalf of any of the foregoing.

8. **If you answered Yes to question above, please provide the government-affiliated position and dates of service in that position.**

9. **Does applicant or applicant's organization exercise any regulatory or other authority or influence over archaeological sites or interests?
(Example: granting permits)**

Yes

No

10. **If you answered Yes to question above, please explain**

11. The applicant understand the terms of the grant and its conditions, including the requirement that the proposal, research and applicant and project members must comply with the SAA Principles of Archaeological Ethics (www.saa.org/ethics)

12. The applicant warrants that, to the best of its knowledge and belief, and except as otherwise disclosed, there are no relevant facts or circumstances which could give rise to individual or organizational conflicts of interest. The applicant/potential grantee agrees that is after award a conflict of interest is discovered, an immediate and full disclosure in writing shall be made to the SAA which shall include a description of the action which the grantee has taken or proposes to take to avoid or mitigate such conflicts. If a conflict of interest is determined to exist, the SAA may, at its discretion, cancel the grant. In the event the applicant/potential grantee was aware of organizational conflict of interest prior to the award for their grant and did not disclose the conflict to the grant reviewer, the SAA may terminate the grant for default. The provisions of this clause shall be included in all subcontracts entered into by the grantee in performance of the duties under the grant.

Signature

Date